

SUPPLEMENTAL DECLARATION

FOR UTILITY/DESIGN/CIP/PCT NATIONAL APPLICATIONS

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and

The below named inventors are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Method And Apparatus For Document Processing**, the specification of which:

- 10027449-122101
- (a) is attached hereto.
X (b) was filed on April 3, 2000 as Application Serial No. 09/542,487 and was amended on _____ (if applicable)
— (c) was filed as PCT International Application No. PCT/_____ on _____ and was amended on _____ (if applicable).
— (d) was filed on _____ as Application Serial No. _____ and was issued a Notice of Allowance on _____.
— (e) was filed on _____ and bearing attorney docket number _____

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above or as allowed as indicated above.

I acknowledge the duty to disclose all information known to me to be material to the patentability of this application as defined in 37 CFR § 1.56. If this is a continuation-in-part (CIP) application, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability of the application as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which my priority is claimed or, (2) if no priority is claimed, before the filing date of this application:

PRIOR FOREIGN PATENTS

<u>Number</u>	<u>Country</u>	<u>Month/Day/ Year Filed</u>	<u>Date first laid- open or Published</u>	<u>Date patented or Granted</u>	<u>Priority Claimed</u> <u>Yes</u> <u>No</u>
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NONE

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

(Prior Application Serial No.)	(Filing Date)
60/011,688	February 15, 1996
60/018,563	May 29, 1996
60/034,954	January 16, 1997
60/038,340	February 27, 1997

I hereby claim the benefit under 35 U.S.C. § 120/365 of any United States application(s) listed below and PCT international applications listed above or below:

PRIOR U.S. OR PCT APPLICATIONS

(Application Serial No.)	(Filing Date)	(Status)
09/126,580	July 30, 1998	Pending
08/864,423	May 28, 1997	Pending
08/800,053	February 14, 1997	Issued as U.S. Patent No. 5,992,061
08/573,392	December 15, 1995	Issued as U.S. Patent No. 5,790,697

Please address all correspondence and direct all telephone calls to:

Stephen G. Rudisill, Esq.
Jenkins & Gilchrist, P.C.
1445 Ross Avenue, Suite 3200
Dallas, Texas 75202-2799
312/425-3900 (telephone)
214/855-4300 (fax)

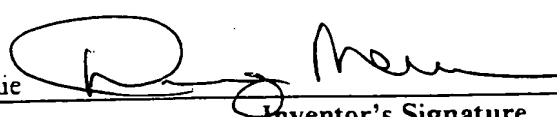
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAMED INVENTOR(S)

1	Richard A. Mazur	<i>Richard A. Mazur</i>	8-7-2000
	Full Name	Inventor's Signature	Date
	1508 Culpepper Drive, Naperville, IL 60540 USA	United States	
	Residence (city, state, country)	Citizenship	

Same as Above
Post Office Address (include zip code)

10027449-12101
TOT 222 " 6442200T

2 Douglas U. Mennie  8/8/00
Full Name Inventor's Signature Date

229 Wood Street, Barrington, IL 60010 USA United States
Residence (city, state, country) Citizenship

Same as Above
Post Office Address (include zip code)

3 Mark C. Munro  8/7/00
Full Name Inventor's Signature Date

736 Parkwood Avenue, Park Ridge, IL 60068 USA United States
Residence (city, state, country) Citizenship

Same as Above
Post Office Address (include zip code)

4 Lars R. Stromme
Full Name Inventor's Signature Date

C/o Kirsten Owe, Fagerlivn 18, 3080 Holmestrand, NORWAY Norwegian
Residence (city, state, country) Citizenship

Same as Above
Post Office Address (include zip code)

10027449-122104

2 Douglas U. Mennie
Full Name **Inventor's Signature** **Date**
229 Wood Street, Barrington, IL 60010 USA United States
Residence (city, state, country) **Citizenship**

Same as Above
Post Office Address (include zip code)

3 Mark C. Munro
Full Name **Inventor's Signature** **Date**
736 Parkwood Avenue, Park Ridge, IL 60068 USA United States
Residence (city, state, country) **Citizenship**

Same as Above
Post Office Address (include zip code)

4 Lars R. Stromme *Lars R. Stromme*
Full Name **Inventor's Signature** **Date**
C/o Kirsten Owe, Fagerlivn 18, 3080 Holmestrand, NORWAY Norwegian
Residence (city, state, country) **Citizenship**

Same as Above
Post Office Address (include zip code)

10027449-12101
FOI 2022-06442001

5 Bradford T. Graves Bradford T. Graves _____
 Full Name **Inventor's Signature** **Date**

3952 Newport Way, Arlington Heights, IL 60005 USA United States
 Residence (city, state, country) **Citizenship**

Same as Above
Post Office Address (include zip code)

6 William J. Jones Will J. Jones 8.15.04
 Full Name **Inventor's Signature** **Date**

280 Poteet Ave. Barrington, IL 60010 USA United States
631 Brier, Kenilworth, IL 60043 USA **Citizenship**

Residence (city, state, country)

Same as Above
Post Office Address (include zip code)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Continuation Application)
of Serial No.: 09/542,487, filed April 3, 2000)
Applicant(s): Richard A. Mazur et al.) Group Art Unit: 3652
Filing Date: December 21, 2001) Examiner: Mark J. Beauchaine
For: Method and Apparatus for Document) Attorney Docket No.: 47171-00262USC2
Processing)

SUBMISSION OF SUPPLEMENTAL DECLARATION

Commissioner for Patents
Washington, D.C. 20231
Attn: Box Patent Application

Sir:

CERTIFICATE OF MAILING 37 C.F.R. 1.8

Express Mail Label No. EL722095097US

I hereby certify that this paper or fee is being deposited with the United States Postal Service EXPRESS MAIL POST OFFICE TO ADDRESSEE service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231, Attention: Box Patent Application.

12/21/01


Adrienne White

Enclosed herewith for filing is an original duly-executed Supplemental Declaration for Application No. 09/542,487. The Supplemental Declaration claims the benefit of U.S. Application Serial No. 09/126,580, filed 7/30/98 (pending), which claims the benefit of U.S. Application Serial No. 08/573,392, issued as U.S. Patent No. 5,790,697. The Supplemental Declaration also claims the benefit of U.S. Application Serial No. 08/864,423, issued on November 6, 2001 at U.S. Patent No. 6,311,819. U.S. Application Serial No. 08/864,423 claims the benefit of U.S. Provisional Application Serial Nos. 60/018,563, 60/034,954 and 60/038,340 and U.S. Application Serial No. 08/800,053. U.S. Application Serial No. 08/800,053 claims the benefit of U.S. Provisional Application Serial Nos. 60/011,688 and 60/018,563.

Also, the Applicants are concurrently filing a Preliminary Amendment amending the specification to the Supplemental Declaration.

If there are any matters which may be resolved or clarified through a telephone interview, the Examiner is respectfully requested to contact Applicants' undersigned attorney at the number indicated. Applicants understand that no fee is required for the submission of this Supplemental Declaration. If a fee is required, the Commissioner is hereby authorized to charge such a fee to Deposit Account No. 10-0447 (Order No. 47171-00262USC2) for any additional fees that may be required, except for the issue fee.

Date: December 21, 2001



John C. Gatz
Reg. No. 41,774
Jenkins & Gilchrist
225 West Washington Street, 26th Floor
Chicago, Illinois 60606
(312) 425-3900

Attorney for Applicants

10027449-122101
"FOI2001" 6-11-2001

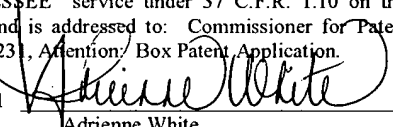
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Continuation Application)
of Serial No.: 09/542,487, filed April 3, 2000)
Applicant(s): Richard A. Mazur et al.) Group Art Unit: 3652
Filing Date: December 21, 2001) Examiner: Mark J. Beauchaine
For: Method and Apparatus for Document) Attorney Docket No.: 47171-00262USC2
Processing)

37 CFR §1.63(d)(2) STATEMENT

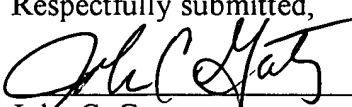
Commissioner for Patents
Washington, D.C. 20231
Attn: Box Patent Application

<p align="center">CERTIFICATE OF MAILING 37 C.F.R. 1.8</p> <p>Express Mail Label No. EL722095097US</p> <p>I hereby certify that this paper or fee is being deposited with the United States Postal Service EXPRESS MAIL POST OFFICE TO ADDRESSEE service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231, Attention: Box Patent Application.</p> <p>12/21/01  Adrienne White</p>

The Applicants respectfully request the deletion of the following individuals from the continuation application: Matthew L. Anderson, Robert J. Klein and Heinz W. Schreiter. The remaining six individuals are the inventors of the invention claimed herein. The Applicants have attached the original Declaration from Serial No. 08/864,423 as **Exhibit 1**.

The Applicants do not believe there are any fees associated with this Statement. However, the Commissioner is hereby authorized to charge Deposit Account No. 10-0447 (Order No. 47171-00262USC2) for any additional fees that may be required, except for the issue fee.

Date: December 21, 2001

Respectfully submitted,

John C. Gatz
Reg. No. 41,774
Jenkins & Gilchrist
225 West Washington Street, 26th Floor
Chicago, Illinois 60606
(312) 425-3900
Attorney for Applicants

10027449-122101
TOTAL 644200

EXHIBIT 1

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

The below named inventors are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND APPARATUS FOR DOCUMENT PROCESSING, the specification of which:

_____ is attached hereto.

x was filed on May 28, 1997 as Application Serial No. 08/864,423.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. §119(a)-(d)

I hereby foreign/pct priority benefits under Title 35, United States Code, §119 of any foreign/pct application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S)

PRIORITY CLAIMED:

(Number) (Country/PCT) (Date Filed)

Yes/No

(Number) (Country/PCT) (Date Filed)

Yes/No

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (35 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

PRIOR APPLICATION NUMBER

FILING DATE

60/018,563

May 29, 1996

60/034,954

January 16, 1997

60/038,340

February 27, 1997

CLAIM FOR BENEFIT OF PRIOR U.S. APPLICATION(S) (35 U.S.C. § 120)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), regarding events which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

08/800,053
(Application Serial No.)

February 14, 1997
(Filing Date)

Pending
(Status)

08/573,392
(Application Serial No.)

December 15, 1995
(Filing Date)

Pending
(Status)

I hereby direct that all correspondence and telephone calls be addressed to Stephen G. Rudisill, Arnold, White & Durkee, P.O. Box 4433, Houston, Texas 77210, (312) 744-0090.

10027449-122101

10027449-122101

Inventor's Signature: Robert J. Klein
Date: 12/4/97 Country of Citizenship: USA
Residence: 1048 Stockton, DesPlaines, IL 60018 USA
(Include number, street name, city, state, and country)
Post Office Address: same as above

Inventor's Full Name: Richard A. Mazur
(First) (Initial) (Last)
Inventor's Signature: Richard A Mazur
Date: 12-4-97 Country of Citizenship: USA
Residence: 1508 Culpepper Drive, Naperville, IL 60540 USA
(Include number, street name, city, state, and country)
Post Office Address: same as above

Inventor's Full Name: Douglas U. Mennie
(First) (Initial) (Last)
Inventor's Signature: Douglas U Mennie
Date: 12-4-97 Country of Citizenship: USA
Residence: 229 Wood Street, Barrington, IL 60010 USA
(Include number, street name, city, state, and country)
Post Office Address: same as above

Inventor's Full Name: Mark C. Munro
(First) (Initial) (Last)
Inventor's Signature: Mark C Munro
Date: 12-4-97 Country of Citizenship: USA
Residence: 736 Parkwood Avenue, Park Ridge, IL 60068 USA
(Include number, street name, city, state, and country)
Post Office Address: same as above

Inventor's Full Name: Heinz W. Schreiter
(First) (Initial) (Last)
Inventor's Signature: Heinz W. Schreiter
Date: 12-4-97 Country of Citizenship: USA
Residence: 8049 N. Tripp Ave., Skokie, IL 60076 USA
(Include number, street name, city, state, and country)
Post Office Address: same as above

belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Full Name: Lars R. Stromme
(First) (Initial) (Last)

Inventor's Signature: _____

Date: _____ Country of Citizenship: Norwegian

Residence: c/o Kirsten Owe, Fagerlivn 18, 3080 Holmestrand, NORWAY
(Include number, street name, city, state, and country)

Post Office Address: same as above

Inventor's Full Name: Matthew L. Anderson
(First) (Initial) (Last)

Inventor's Signature: Matthew L. Anderson

Date: 12-4-97 Country of Citizenship: USA

Residence: 8556 235th Ave., Salem, WI 53168 USA
(Include number, street name, city, state, and country)

Post Office Address: _____

Inventor's Full Name: Bradford T. Graves
(First) (Initial) (Last)

Inventor's Signature: Bradford T. Graves

Date: 12/4/97 Country of Citizenship: USA

Residence: 3952 Newport Way, Arlington Heights, IL 60005 USA
(Include number, street name, city, state, and country)

Post Office Address: same as above

Inventor's Full Name: William J. Jones
(First) (Initial) (Last)

Inventor's Signature: William J. Jones

Date: 12-4-97 Country of Citizenship: USA

Residence: 631 Brier, Kenilworth, IL 60043 USA
(Include number, street name, city, state, and country)

Post Office Address: same as above

1002449-122104

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Full Name: Lars R. Stromme
(First) (Initial) (Last)

Inventor's Signature: Lars R. Stromme

Date: 12-22-97 Country of Citizenship: Norwegian

Residence: c/o Kirsten Owe, Fagerlivn 18, 3080 Holmestrand, NORWAY
(Include number, street name, city, state, and country)

Post Office Address: same as above

Inventor's Full Name: Matthew L. Anderson
(First) (Initial) (Last)

Inventor's Signature: _____

Date: _____ Country of Citizenship: USA

Residence: 8556 235th Ave., Salem, WI 53168 USA
(Include number, street name, city, state, and country)

Post Office Address: _____

Inventor's Full Name: Bradford T. Graves
(First) (Initial) (Last)

Inventor's Signature: _____

Date: _____ Country of Citizenship: USA

Residence: 3952 Newport Way, Arlington Heights, IL 60005 USA
(Include number, street name, city, state, and country)

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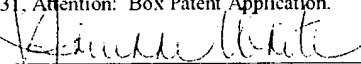
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Continuation Application)
of Serial No.: 09/542,487, filed April 3, 2000)
Applicant(s): Richard A. Mazur et al.) Group Art Unit: 3652
Filing Date: December 21, 2001) Examiner: Mark J. Beauchaine
For: Method and Apparatus for Document) Attorney Docket No.: 47171-00262USC2
Processing)

37 CFR §1.63(d)(2) STATEMENT

Commissioner for Patents
Washington, D.C. 20231
Attn: Box Patent Application

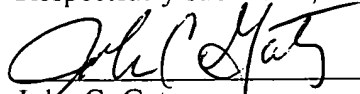
<p align="center">CERTIFICATE OF MAILING 37 C.F.R. 1.8</p> <p>Express Mail Label No. EL722095097US</p> <p>I hereby certify that this paper or fee is being deposited with the United States Postal Service EXPRESS MAIL POST OFFICE TO ADDRESSEE service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231. Attention: Box Patent Application.</p> <p>12/21/01  Adrienne White</p>
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The Applicants respectfully request the deletion of the following individuals from the continuation application: Matthew L. Anderson, Robert J. Klein and Heinz W. Schreiter. The remaining six individuals are the inventors of the invention claimed herein. The Applicants have attached the original Declaration from Serial No. 08/864,423 as **Exhibit 1**.

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Date: December 21, 2001

Respectfully submitted,


John C. Gatz
Reg. No. 41,774
Jenkins & Gilchrist
225 West Washington Street, 26th Floor
Chicago, Illinois 60606
(312) 425-3900
Attorney for Applicants

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EXHIBIT 1

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— is attached hereto.

x was filed on May 28, 1997 as Application Serial No. 08/864,423.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

**PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION
AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. §119(a)-(d)**

I hereby foreign/pct priority benefits under Title 35, United States Code, §119 of any foreign/pct application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S)

PRIORITY CLAIMED:

(Number) (Country/PCT) (Date Filed)

Yes/No

(Number) (Country/PCT) (Date Filed)

Yes/No

**CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S)
(35 U.S.C. § 119(e))**

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

PRIOR APPLICATION NUMBER

FILING DATE

60/018,563

May 29, 1996

60/034,954

January 16, 1997

60/038,340

February 27, 1997

**CLAIM FOR BENEFIT OF PRIOR U.S. APPLICATION(S)
(35 U.S.C. § 120)**

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<u>08/800,053</u>	<u>February 14, 1997</u>	<u>Pending</u>
(Application Serial No.)	(Filing Date)	(Status)

<u>08/573,392</u>	<u>December 15, 1995</u>	<u>Pending</u>
(Application Serial No.)	(Filing Date)	(Status)

I hereby direct that all correspondence and telephone calls be addressed to Stephen G. Rudisill, Arnold, White & Durkee, P.O. Box 4433, Houston, Texas 77210, (312) 744-0090.

10037449-1310
TOTAL 6442007

10027449-122101

Inventor's Signature: Robert J. Klein
Date: 12/4/97 Country of Citizenship: USA
Residence: 1048 Stockton, DesPlains, IL 60018 USA
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Inventor's Signature: Mark C Munro
Date: 12-4-97 Country of Citizenship: USA
Residence: 736 Parkwood Avenue, Park Ridge, IL 60068 USA
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Post Office Address: same as above

Inventor's Full Name: Heinz W. Schreiter
(First) (Initial) (Last)
Inventor's Signature: Heinz W. Schreiter
Date: 12-4-97 Country of Citizenship: USA
Residence: 8049 N. Tripp Ave., Skokie, IL 60076 USA
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belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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(First) (Initial) (Last)

Inventor's Signature: Bradford T. Graves

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Inventor's Full Name: William J. Jones
(First) (Initial) (Last)

Inventor's Signature: William J. Jones

Date: 12-4-97 Country of Citizenship: USA

Residence: 631 Brier, Kenilworth, IL 60043 USA
(Include number, street name, city, state, and country)

Post Office Address: same as above

10027449 "122101

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Inventor's Full Name: Lars R. Stromme
(First) (Initial) (Last)

Inventor's Signature: Lars R. Stromme

Date: 12-22-97 Country of Citizenship: Norwegian

Residence: c/o Kirsten Owe, Fagerlivn 18, 3080 Holmestrand, NORWAY
(Include number, street name, city, state, and country)

Post Office Address: same as above

Inventor's Full Name: Matthew L. Anderson
(First) (Initial) (Last)

Inventor's Signature: _____

Date: _____ Country of Citizenship: USA

Residence: 8556 235th Ave., Salem, WI 53168 USA
(Include number, street name, city, state, and country)

Post Office Address: _____

Inventor's Full Name: Bradford T. Graves
(First) (Initial) (Last)

Inventor's Signature: _____

Date: _____ Country of Citizenship: USA

Residence: 3952 Newport Way, Arlington Heights, IL 60005 USA
(Include number, street name, city, state, and country)

Post Office Address: same as above

Inventor's Full Name: William J. Jones
(First) (Initial) (Last)

Inventor's Signature: _____

Date: _____ Country of Citizenship: USA

Residence: 631 Brier, Kenilworth, IL 60043 USA
(Include number, street name, city, state, and country)

Post Office Address: same as above

10027449-122101

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do/does hereby:

SELL, ASSIGN AND TRANSFER to CUMMINS-ALLISON CORP. (the "Assignee"), a corporation of Indiana having a place of business at 891 Feehanville Drive, Mt. Prospect, Illinois 60056, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith and is entitled Method and Apparatus for Document Processing, filed on May 28, 1997 under Serial No. 08/864,423, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

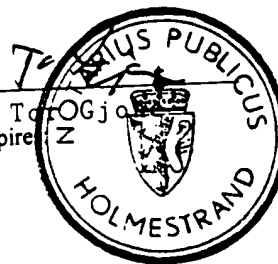
(Signature) Lars R. Stromme Date 12-9-1997
Name: Lars R. Stromme

CITY OF Holmestrand)
) ss.
COUNTRY OF Norway)

BEFORE ME, the undersigned authority, on this 9th day of december, 1997, personally appeared Lars R. Stromme known to me to be the person whose names are subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[seal]

Notary Public
My Commission Expires



1002449-122401
TOTAL 6442001

(Signature) Matthew L. Anderson Date Dec 4, 1997
Name: Matthew L. Anderson

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared Matthew L. Anderson known to me to be the person whose names are subscribed to the
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.



Sandra A. Scesniak
Notary Public
My Commission Expires:

(Signature) Bradford T. Graves Date 12/9/97
Name: Bradford T. Graves

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared Bradford T. Graves known to me to be the person whose names are subscribed to the
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.



Sandra A. Scesniak
Notary Public
My Commission Expires:

(Signature) William J. Jones Date Dec 4, 97
Name: William J. Jones

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared William J. Jones known to me to be the person whose names are subscribed to the
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.



Sandra A. Scesniak
Notary Public
My Commission Expires:

1002449-42401

(Signature) Robert J. Klein Date 12/4/97
Name: Robert J. Klein

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared Robert J. Klein known to me to be the person whose names are subscribed to the foregoing
instrument and acknowledged to me that he executed the same of his own free will for the purposes and
consideration therein expressed.



Sandra A Scesniak
Notary Public
My Commission Expires:

(Signature) Richard A. Mazur Date 12-4-97
Name: Richard A. Mazur

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared Richard A. Mazur known to me to be the person whose names are subscribed to the
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.



Sandra A Scesniak
Notary Public
My Commission Expires:

(Signature) Douglas U. Mennie Date 12/3/97
Name: Douglas U. Mennie

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 3 day of December, 1997,
personally appeared Douglas U. Mennie known to me to be the person whose names are subscribed to the
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.



Sandra A Scesniak
Notary Public
My Commission Expires:

10027449-123101
FOOTNOTES 6442007

(Signature) Mark C. Munro Date 12-4-97
Name: Mark C. Munro

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared Mark C. Munro known to me to be the person whose names are subscribed to the foregoing
instrument and acknowledged to me that he executed the same of his own free will for the purposes and
consideration therein expressed.

Sandra A. Scesniak
Notary Public
My Commission Expires:

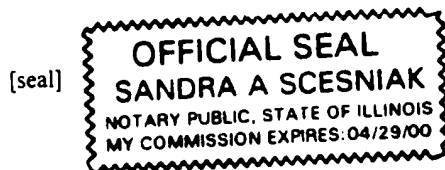


(Signature) Heinz W. Schreiter Date 12-4-97
Name: Heinz W. Schreiter

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared Heinz W. Schreiter known to me to be the person whose names are subscribed to the
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.

Sandra A. Scesniak
Notary Public
My Commission Expires:



ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do/does hereby:

SELL, ASSIGN AND TRANSFER to CUMMINS-ALLISON CORP. (the "Assignee"), a corporation of Indiana having a place of business at 891 Feehanville Drive, Mt. Prospect, Illinois 60056, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith and is entitled Method and Apparatus for Document Processing, filed on May 28, 1997 under Serial No. 08/864,423, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

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COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

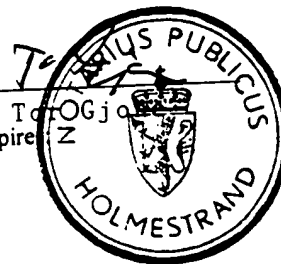
(Signature) Lars R. Stromme Date 12-9-1997
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[seal]

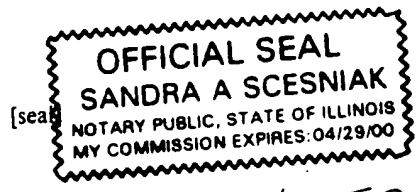
Notary Public
My Commission Expires



(Signature) Matthew L. Anderson Date Dec 4, 1997
Name: Matthew L. Anderson

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
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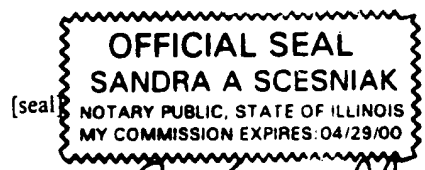


Sandra A. Scesniak
Notary Public
My Commission Expires:

(Signature) Bradford T. Graves Date 12/4/97
Name: Bradford T. Graves

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared Bradford T. Graves known to me to be the person whose names are subscribed to the
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.



Sandra A. Scesniak
Notary Public
My Commission Expires:

(Signature) William J. Jones Date Dec 4, 97
Name: William J. Jones

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared William J. Jones known to me to be the person whose names are subscribed to the
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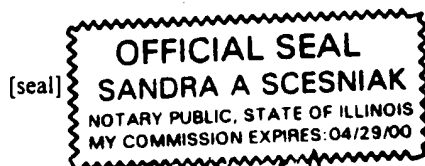
Sandra A. Scesniak
Notary Public
My Commission Expires:

100449-1-644201

(Signature) Robert J. Klein Date 12/4/97
Name: Robert J. Klein

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared Robert J. Klein known to me to be the person whose names are subscribed to the foregoing
instrument and acknowledged to me that he executed the same of his own free will for the purposes and
consideration therein expressed.

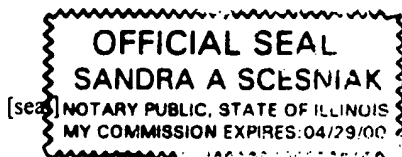


Sandra A. Scesniak
Notary Public
My Commission Expires:

(Signature) Richard A. Mazur Date 12-4-97
Name: Richard A. Mazur

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared Richard A. Mazur known to me to be the person whose names are subscribed to the
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.



Sandra A. Scesniak
Notary Public
My Commission Expires:

(Signature) Douglas U. Mennie Date 12/3/97
Name: Douglas U. Mennie

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 3 day of December, 1997,
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foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.



Sandra A. Scesniak
Notary Public
My Commission Expires:

(Signature) Mark C. Munro Date 12-4-97
Name: Mark C. Munro

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
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Sandra A. Scesniak
Notary Public
My Commission Expires:

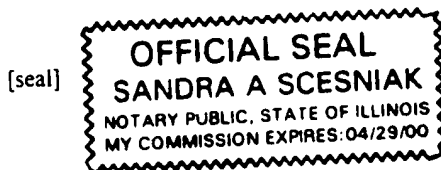


(Signature) Heinz W. Schreiter Date 12-4-97
Name: Heinz W. Schreiter

STATE OF Illinois)
) ss.
COUNTY OF Cook)

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and consideration therein expressed.

Sandra A. Scesniak
Notary Public
My Commission Expires:



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Continuation Application)
of Serial No.: 09/542,487, filed April 3, 2000)
Applicant(s): Richard A. Mazur et al.) Group Art Unit: 3652
Filing Date: December 21, 2001) Examiner: Mark J. Beauchaine
For: Method and Apparatus for Document) Attorney Docket No.: 47171-00262USC2
Processing)

SUBMISSION OF SUPPLEMENTAL DECLARATION

Commissioner for Patents
Washington, D.C. 20231
Attn: Box Patent Application

Sir:

CERTIFICATE OF MAILING 37 C.F.R. 1.8

Express Mail Label No. EL722095097US

I hereby certify that this paper or fee is being deposited with the United States Postal Service EXPRESS MAIL POST OFFICE TO ADDRESSEE service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231, Attention: Box Patent Application.

12/21/01

Adrienne White

Enclosed herewith for filing is an original duly-executed Supplemental Declaration for Application No. 09/542,487. The Supplemental Declaration claims the benefit of U.S. Application Serial No. 09/126,580, filed 7/30/98 (pending), which claims the benefit of U.S. Application Serial No. 08/573,392, issued as U.S. Patent No. 5,790,697. The Supplemental Declaration also claims the benefit of U.S. Application Serial No. 08/864,423, issued on November 6, 2001 at U.S. Patent No. 6,311,819. U.S. Application Serial No. 08/864,423 claims the benefit of U.S. Provisional Application Serial Nos. 60/018,563, 60/034,954 and 60/038,340 and U.S. Application Serial No. 08/800,053. U.S. Application Serial No. 08/800,053 claims the benefit of U.S. Provisional Application Serial Nos. 60/011,688 and 60/018,563.

Also, the Applicants are concurrently filing a Preliminary Amendment amending the specification to the Supplemental Declaration.

If there are any matters which may be resolved or clarified through a telephone interview, the Examiner is respectfully requested to contact Applicants' undersigned attorney at the number indicated. Applicants understand that no fee is required for the submission of this Supplemental Declaration. If a fee is required, the Commissioner is hereby authorized to charge such a fee to Deposit Account No. 10-0447 (Order No. 47171-00262USC2) for any additional fees that may be required, except for the issue fee.

Date: December 21, 2001



John C. Gatz

Reg. No. 41,774

Jenkins & Gilchrist

225 West Washington Street, 26th Floor

Chicago, Illinois 60606

(312) 425-3900

Attorney for Applicants

10027449-122101
10027449-122101

SUPPLEMENTAL DECLARATION

FOR UTILITY/DESIGN/CIP/PCT NATIONAL APPLICATIONS

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and

The below named inventors are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Method And Apparatus For Document Processing**, the specification of which:

- ☒ (a) is attached hereto.
☒ (b) was filed on April 3, 2000 as Application Serial No. 09/542,487 and was amended on _____ (if applicable)
____ (c) was filed as PCT International Application No. PCT/_____ on _____ and was amended on _____ (if applicable).
____ (d) was filed on _____ as Application Serial No. _____ and was issued a Notice of Allowance on _____.
____ (e) was filed on _____ and bearing attorney docket number _____

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above or as allowed as indicated above.

I acknowledge the duty to disclose all information known to me to be material to the patentability of this application as defined in 37 CFR § 1.56. If this is a continuation-in-part (CIP) application, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability of the application as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which my priority is claimed or, (2) if no priority is claimed, before the filing date of this application:

PRIOR FOREIGN PATENTS

<u>Number</u>	<u>Country</u>	<u>Month/Day/ Year Filed</u>	<u>Date first laid- open or Published</u>	<u>Date patented or Granted</u>	<u>Priority Claimed</u> <u>Yes</u> <u>No</u>
NONE					

2024-16703

I hereby claim the benefit under 35 U.S.C. § 120/365 of any United States application(s) listed below and PCT international applications listed above or below:

(Application Serial No.)	(Filing Date)	(Status)
09/126,580	July 30, 1998	Pending
08/864,423	May 28, 1997	Pending
08/800,053	February 14, 1997	Issued as U.S. Patent No. 5,992,061
08/573,392	December 15, 1995	Issued as U.S. Patent No. 5,790,697

Stephen G. Rudisill, Esq.
Jenkins & Gilchrist, P.C.
1445 Ross Avenue, Suite 3200
Dallas, Texas 75202-2799
312/425-3900 (telephone)
214/855-4300 (fax)

NAMED INVENTOR(S)

1508 Culpepper Drive, Naperville, IL 60540 USA	United States
Residence (city, state, country)	Citizenship

CHICAGO 124685v1 47171-00262

2

Full Name

Inventor's Signature

8/8/22

Date _____

United States

Citizenship

Post Office Address (include zip code)

3

Full Name

Inventor's Signature

8/7/00

Date _____

United States

Citizenship

Post Office Address (include zip code)

4

Full Name

Inventor's Signature

Date _____

Norwegian

Citizenship

Post Office Address (include zip code)

10027445-123101

2 Douglas U. Mennie
Full Name Inventor's Signature Date
229 Wood Street, Barrington, IL 60010 USA United States
Residence (city, state, country) Citizenship

Same as Above
Post Office Address (include zip code)

3 Mark C. Munro
Full Name Inventor's Signature Date
736 Parkwood Avenue, Park Ridge, IL 60068 USA United States
Residence (city, state, country) Citizenship

Same as Above
Post Office Address (include zip code)

4 Lars R. Stromme *Lars R. Stromme*
Full Name Inventor's Signature Date
C/o Kirsten Owe, Fagerlivn 18, 3080 Holmestrand, NORWAY Norwegian
Residence (city, state, country) Citizenship

Same as Above
Post Office Address (include zip code)

5 Bradford T. Graves Bradford T. Graves _____
 Full Name Inventor's Signature Date
 3952 Newport Way, Arlington Heights, IL 60005 USA United States
 Residence (city, state, country) Citizenship

Same as Above
 Post Office Address (include zip code)

6 William J. Jones Will. J. Jones 8.15.00
 Full Name Inventor's Signature Date
 280 Poteet Ave. Barrington, IL 60010 USA United States
 631 Brier, Kenilworth, IL 60043 USA USA Citizenship
 Residence (city, state, country) Citizenship

Same as Above
 Post Office Address (include zip code)

10027449-122101

* R4P Cummm 124
KIH/GAT CHICAGO



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUGUST 31, 1998

PTAS



100758074A

ARNOLD, WHITE & DURKEE
STEPHEN G. RUDISILL
P.O. BOX 4433
HOUSTON, TX 77210

SEP 1 1998

CORRECTED
NOTICE

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/12/1998

REEL/FRAME: 8890/0809
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
STROMME, LARS R.

DOC DATE: 12/07/1997

ASSIGNOR:
ANDERSON, MATTHEW L.

DOC DATE: 12/07/1997

ASSIGNOR:
GRAVES, BRADFORD T.

DOC DATE: 12/07/1997

ASSIGNOR:
JONES, WILLIAM J.

DOC DATE: 12/07/1997

ASSIGNOR:
KLEIN, ROBERT J.

DOC DATE: 12/07/1997

ASSIGNOR:
MAZUR, RICHARD A.

DOC DATE: 12/07/1997

ASSIGNOR:
MENNIE, DOUGLAS U.

DOC DATE: 12/07/1997

CHECKED BY:	
1ST CHECK	NO
2ND CHECK	CS

REC'D - A.W.D.

SEP 04 1998

DOCKET DESK

8890/0809 PAGE 2

ASSIGNOR:
MUNRO, MARK C.

DOC DATE: 12/07/1997

ASSIGNOR:
SCHREITER, HEINZ W.

DOC DATE: 12/07/1997

ASSIGNEE:
CUMMINS-ALLISON CORP.
891 FEEHANVILLE DRIVE
MT. PROSPECT, ILLINOIS 60056

SERIAL NUMBER: 08864423
PATENT NUMBER:

FILING DATE: 05/28/1997
ISSUE DATE:

DOROTHY RILEY, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

100244404

08-18-1998

CUMM:174

FORM PTO-1595
(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



100758074

ET

RECEIVED

JAN 12 1998

U.S. Department of Commerce
Patent and Trademark Office

RECEIPT ACTING DIV

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original and two copies thereof.

1. Name of conveying party(ies):

Lars R. Stromme
Matthew L. Anderson
Bradford T. Graves
William J. Jones
Robert J. Klein
Richard A. Mazur
Douglas U. Mennie
Mark C. Munro
Heinz W. Schreiter

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: December 7, 1997

2. Name and address of receiving party(ies):

Name: Cummins-Allison Corp.

Internal Address: _____

Street Address: 891 Feehanville DriveCity: Mt. Prospect State: IL Zip: 60056Additional name(s) & address(es) attached? ☐ Yes ☐ No4. Application number(s) or registration number(s): 08/864,423

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 08/864,423

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen G. Rudisill, Esq.Internal Address: Arnold, White & DurkeeStreet Address: P.O. Box 4433City: Houston State: Texas Zip: 772106. Total number of applications and patents involved: One7. Total fee (37 C.F.R. 3.41) \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul R. Kitch

Name of Person Signing

Paul R. Kitch
Signature1/8/98
DateTotal number of pages including cover sheet, attachments and document: 6

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